

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: R. U. Udupa, et al.  
Serial No.: 09/872,423  
Filed: May 31, 2001  
Confirmation No. 1406

Docket No. JP920010007US1  
Group Art Unit: 2621  
Dated: August 28, 2001

For: FINGERPRINT VERIFICATION

Commissioner for Patents  
Washington, D.C. 20231

Attn: APPLICATION DIVISION, SPECIAL HANDLING UNIT


**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Sir:

Submitted herewith is a Declaration, properly signed by the Applicants referencing application Serial No. 09/872,423 as originally filed on May 31, 2001. Accordingly, the above-identified application is now complete.

Please charge Deposit Account No. 09-0468 in the amount of \$130.00 for the surcharge for filing the Declaration on a date later than the filing date of the application.

Respectfully submitted,

By   
Manny Schecter (Reg. 31,722)  
Attorney for Applicants  
914-945-3252

IBM Corporation  
Intellectual Property Law  
P.O. Box 218  
Yorktown Heights, N.Y. 10598  
Fax: 914-945-3281

7  
RECEIPT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: R. U. Udupa, et al.

Confirmation No. 1406

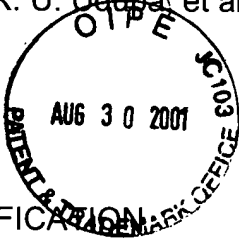
Serial No.: 09/872,423

Examiner:

Filed: May 31, 2001

Group Art: 2621

For: FINGERPRINT VERIFICATION



Commissioner for Patents  
Washington, D. C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgement Postcard
2. Notice to File Missing Parts
3. Response to Notice to File Missing Parts
4. Executed Declaration and Power of Attorney
5. Assignment to IBM with Recordation Form
6. Information Disclosure Statement
7. PTO-1449 with References
8. Request for Corrected Official Filing Receipt
9. Copies of Official Filing Receipt, Express Mail Certificate showing May 31, 2001 filing date, Application Transmittal Sheet, Returned Postcard.

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

*Assistant Commissioner for Patents  
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on August 28, 2001.

Kathy Cognatello

(Type or print name of person mailing paper)

Kathy Cognatello

(Signature of person mailing paper)

Docket No.: JP920010007US1

Filing Patent Application under Rule 1.53(b), Application  
Fee Sheet.

Re application of: Raghavendra U. Udupa, et al.

For: FINGERPRINT VERIFICATION

Serial No.: To be assigned  
Docket No.: JP920010007US1  
Attorney: SCK

Express Mail EL761869593US  
Date of Deposit: May 31, 2001

No. of pages of specification 41  
No. of pages of claims 13  
No. of sheets of drawings 9 Formal

All fees are charged to our Account No. 09-0468

EL761869593US

Filing Patent Application under Rule 1.53(b), Application  
Fee Sheet.

Re application of: Raghavendra U. Udupa, et al.

For: FINGERPRINT VERIFICATION

Serial No.: To be assigned  
Docket No.: JP920010007US1  
Attorney: SCK

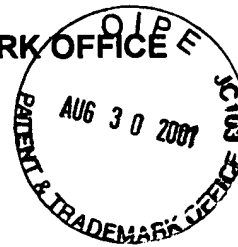
Express Mail EL761869593US  
Date of Deposit: May 31, 2001

No. of pages of specification 41  
No. of pages of claims 13  
No. of sheets of drawings 9 Formal

All fees are charged to our Account No. 09-0468



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: Raghavendra U. Udupa, et al.

Serial No.:

Filed: Herewith

For: FINGERPRINT VERIFICATION

Group No.

Examiner:

Assistant Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**EXPRESS MAIL CERTIFICATE**

Express Mail Label Number EL761869593US  
Date of Deposit May 31, 2001

I hereby certify that the attached paper or fee

Acknowledgement Postcard  
Patent Application Transmittal Letter (original and one copy)  
Patent Application under Rule 1.53(b)  
Formal Drawings (9 Sheets)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Kathy Cognatello

(Name)

Kathy Cognatello  
Signature

**Note:** Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

**Note:** The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

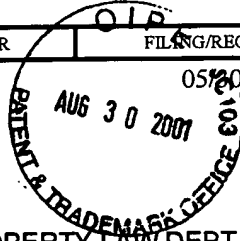
Docket No. JP920010007US1



## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/872,423	05/20/2001	Raghavendra U. Udupa	JP920010007US1



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CONFIRMATION NO. 1406

## FORMALITIES LETTER



\*OC000000006371505\*

Date Mailed: 08/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$80.
  - \$80 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 210.

*A copy of this notice MUST be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/06/2001 MAHMED1 00000081 090468 09872423

01 FC:105 130.00 CH

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